

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Mail Stop Patent Application  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

15915 U.S. PTO  
09/22/03

Atty. Dkt.: 839-1300  
Date: September 22, 2003

31088 U.S. PTO  
10/664915  
09/22/03

Sir:

Attached for filing is the patent application of:

Inventor: Kenneth WHALING et al.

Entitled: A METHOD FOR PERFORMING A HAZARD REVIEW AND SAFETY ANALYSIS OF A PRODUCT OR SYSTEM

and including attachments as noted below:

- ☐ Newly executed Declaration, ☒ Copy of Declaration from prior application, ☒ Abstract  
☐ Please **delete** the following inventors in the continuation/division/continuation-in-part application:  
**Deleted persons:**

28 pages of specification and claims (including 5 numbered claims), and  
15 sheets of accompanying drawing/s.

☐ Record the attached assignment to \_\_\_\_\_ and return to the undersigned.

☐ Attached is a Power of Attorney.

☐ Priority is hereby claimed under 35 U.S.C. § 119 based on the following foreign applications:

Application Number	Country	Day/Month/Year Filed
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\_\_\_\_\_, respectively, the entire content of which is hereby incorporated by reference in this application..

☐ Certified copy(ies) of foreign application(s) is/are attached.

☐ Certified copy(ies) filed on \_\_\_\_\_ in prior appln. no. \_\_\_\_\_ filed \_\_\_\_\_

☐ This application claims the benefit of Provisional Application No. \_\_\_\_\_, filed \_\_\_\_\_, the entire content of which is hereby incorporated by reference in this application.

☒ This application is a ☐ continuation/☒ division/☐ continuation-in-part of Application No. 10/210,179, filed August 2, 2002, the entire content of which is hereby incorporated by reference in this application.

☐ Petition filed in prior application to extend its life to insure co-pendency.

☒ The prior application is assigned to General Electric Company, Schenectady, New York.

☒ It is hereby requested that the Examiner consider the art cited in the parent application by applicant and/or the Examiner for the reasons stated therein. A listing of that art is attached.

☐ Applicant claims "small entity" status. ☐ "Small entity" statement attached.

☒ Please enter the attached and/or below preliminary amendment prior to calculation of filing fee:

☒ Also attached: ☐ Information Disclosure Statement; ☐ Non-Publication Request; ☐ Nucleotide and/or Amino Acid Sequence Submission; ☐ Statement deleting Inventor(s) named in prior application; ☒ Other:  
Submission of Formal Drawings

**FILING FEE IS BASED ON CLAIMS AS FILED LESS ANY HEREWITH CANCELED**

Basic Filing Fee		\$	750.00
Total effective claims	5 - 20 (at least 20) = 0 x \$ 18.00	\$	0.00
Independent claims	1 - 3 (at least 3) = 0 x \$ 84.00	\$	0.00
If any proper multiple dependent claims now added for first time, add \$280.00 (ignore improper)		\$	0.00
	<b>SUBTOTAL</b>	\$	750.00
If "small entity," then enter half (1/2) of subtotal and subtract		-\$	0.00
	<b>SECOND SUBTOTAL</b>	\$	750.00
Assignment Recording Fee (\$40.00)		\$	0.00
	<b>TOTAL FEE ENCLOSED</b>	\$	750.00

Any future submission requiring an extension of time is hereby stated to include a petition for such time extension.

The Commissioner is hereby authorized to charge any deficiency, or credit any overpayment, in the fee(s) filed, or asserted to be filed, or which should have been filed herewith (or with any paper hereafter filed in this application by this firm) to our **Account No. 14-1140**.  
A duplicate copy of this sheet is attached.

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JHN:glf

**NIXON & VANDERHYE P.C.**

By Atty: Jeffry H. Nelson, Reg. No. 30,481

Signature: \_\_\_\_\_

*[Handwritten Signature]*

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Patent Application of

Kenneth WHALING et al.

Serial No. Not yet assigned

Filed: September 18, 2003

For: A METHOD FOR PERFORMING A  
HAZARD REVIEW AND SAFETY ANALYSIS  
OF A PRODUCT OR SYSTEM

Atty Ref.: 839-1300

Group: Not yet assigned

Examiner: Not yet assigned

\* \* \* \* \*

September 22, 2003

Mail Stop PGPUB Drawings  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**SUBMISSION OF FORMAL DRAWINGS**

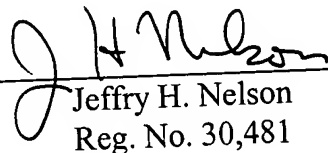
Sir:

Enclosed herewith are fifteen sheet(s) of formal, inked drawings for the above-  
identified application.

Respectfully submitted,

**NIXON & VANDERHYE P.C.**

By: \_\_\_\_\_

  
Jeffrey H. Nelson  
Reg. No. 30,481

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